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# Student self-regulation and the use of assessment rubrics

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# Background

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- Need for improving feedback quality (NSS 2018)
  - Assessment & Feedback (Q8-Q11: Accounting & Finance: 70.6% vs ASBS: 62.6%)
- Assessment rubrics can be effective tools for communicating expectations associated to assessment and for guiding students' self-evaluation (Dawson, 2017)
- Research concentrates on methods for designing/ administering assessment rubrics yet less attention to their 'reception' (Andrade & Du, 2005)
- Engagement and satisfaction with assessment and feedback may be mediated by self-regulation mechanisms (Nicol & McFarlane-Dick 2006)

# Research questions

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- How do students make use of assessment rubrics?
- How [if at all] does self-regulation affect students' attitudes towards assessment rubrics?
  - Content interpretation
  - Use for self-assessment

# Assessment rubric

Structure	
1	Clear executive summary
2	Effectiveness of Introduction
3	Coherence and logical progression
4	Logical conclusions

Content	
5	Identification of relevant issues
6	Explanation of issues
7	Relevance to the task
8	Application of relevant ethical theory

Literature	
9	Identification & use of relevant literature
10	Quality and breadth of reading
11	Correct citations

Presentation	
12	Style & quality of written communication
13	Good report structure
14	Originality

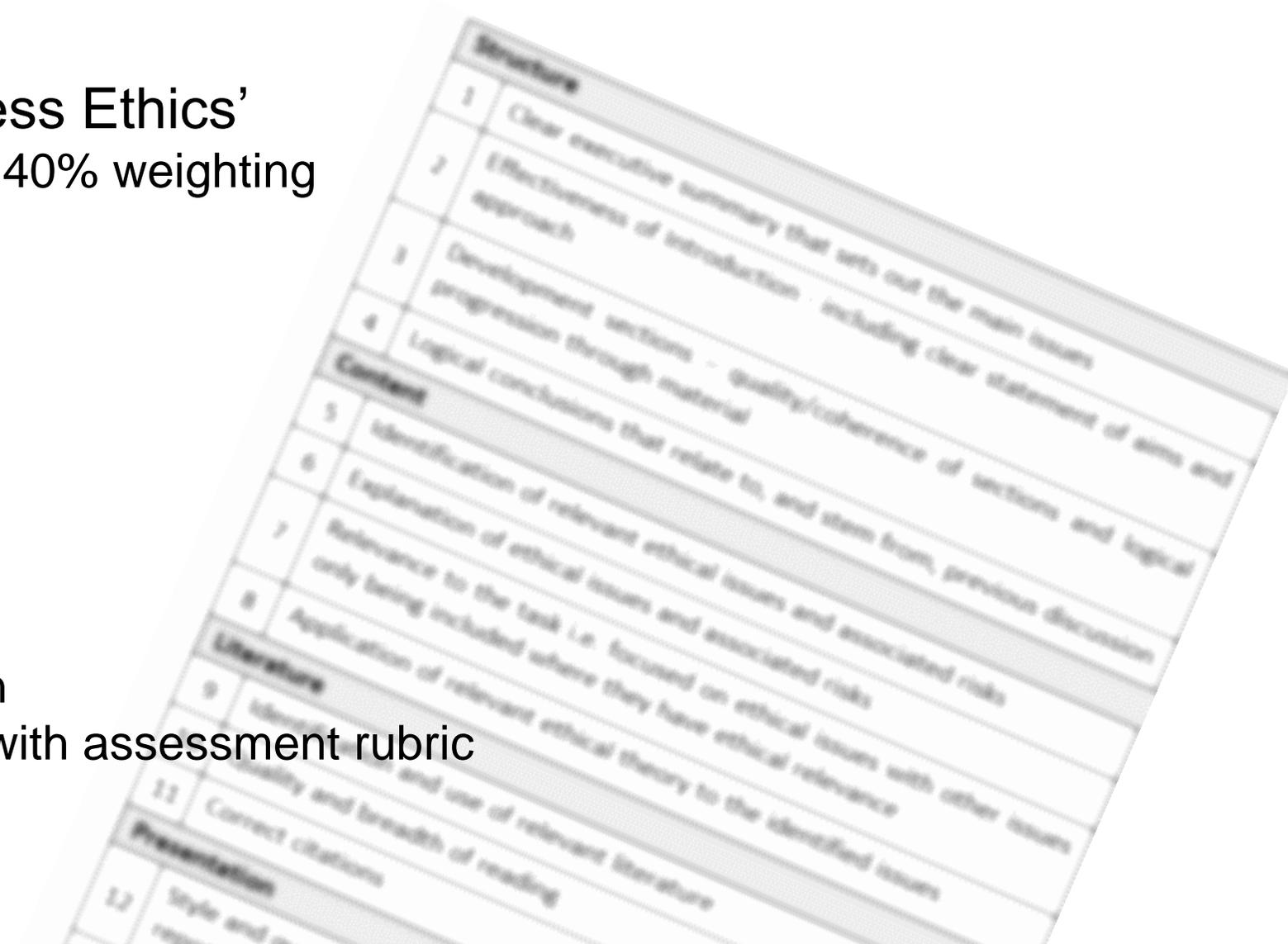
Word count	
15	Is essay within 3,000 words +/- 10%?

Log	
16	Included meeting log?

Structure	
1	Executive summary that sets out the main issues
2	Introduction - including clear statement of aims and objectives
3	Body sections - quality/coherence of argument through material
4	Conclusions that relate to, and stem from, the issues identified
Content	
5	Identification of relevant ethical issues and associated risks
6	Explanation of ethical issues and associated risks
7	Relevance to the task i.e. focused on ethical issues with other issues only being included where they have ethical relevance
8	Application of relevant ethical theory to the identified issues
Literature	
9	Identification and use of relevant literature
10	Breadth of reading
11	Correct citations
Presentation	
12	Style and quality of written communication - befitting a report for the Directors of GCG
13	Good report structure, including clarity and conciseness
14	Originality
Word count	
15	3,000 words +/- 10%
Log	
16	Is a meeting log attached? if not, then the grade awarded will be reduced by one grade.

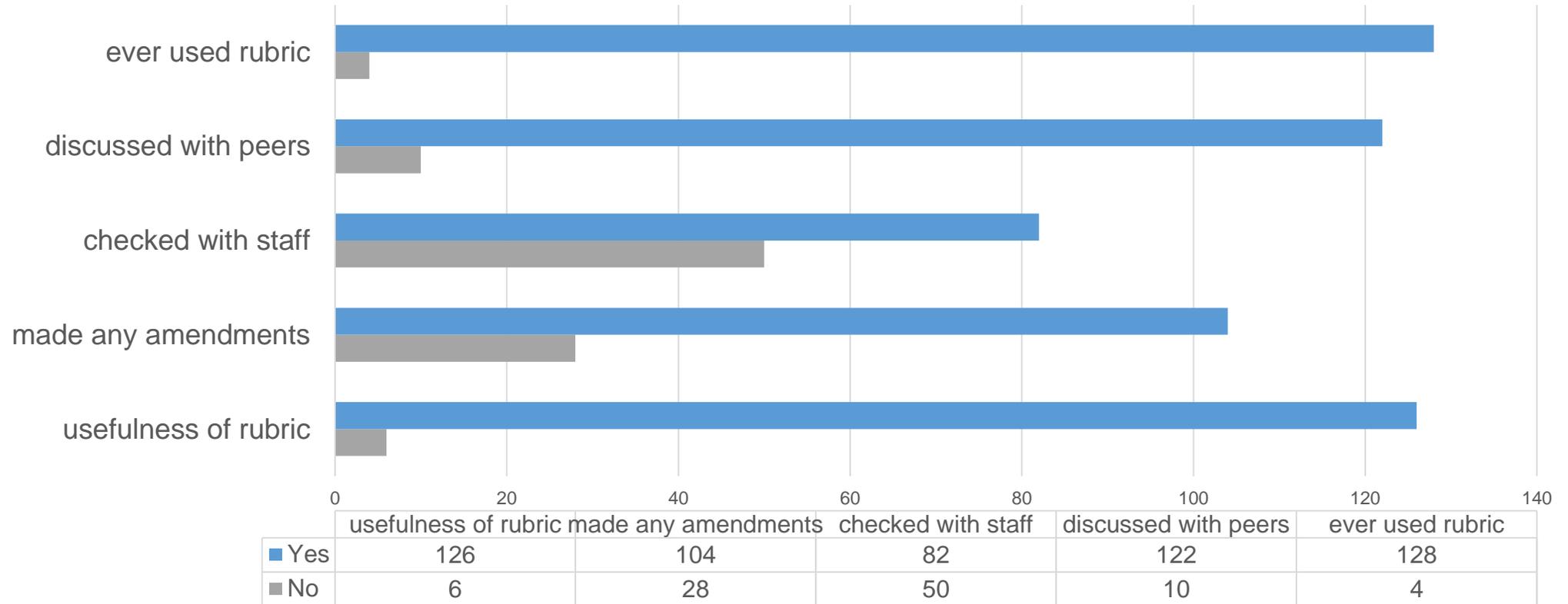
# Procedure and Participants

- ‘Accounting and Business Ethics’
  - In-course assessment: 40% weighting
- Class of 214
- Participants  $n = 135$
- Two-stage survey
  - Stage 1: Self-regulation
  - Stage 2: Engagement with assessment rubric

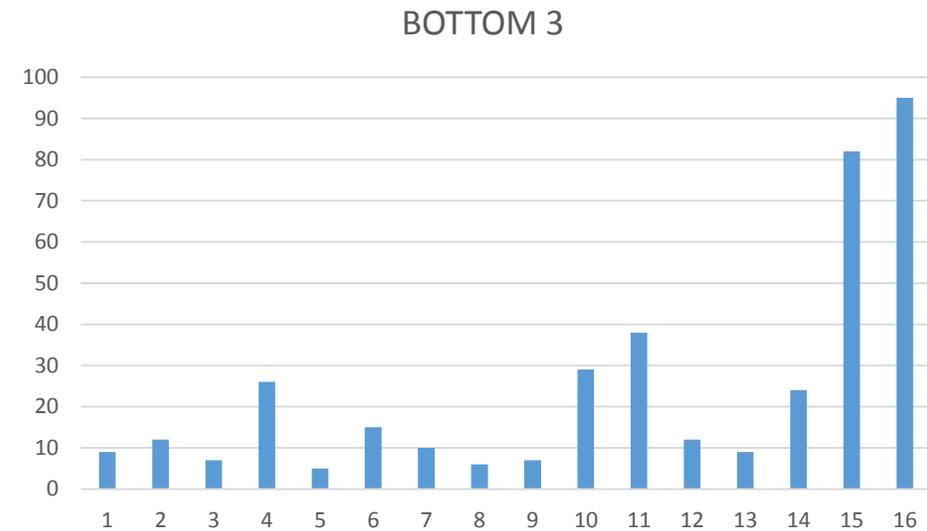
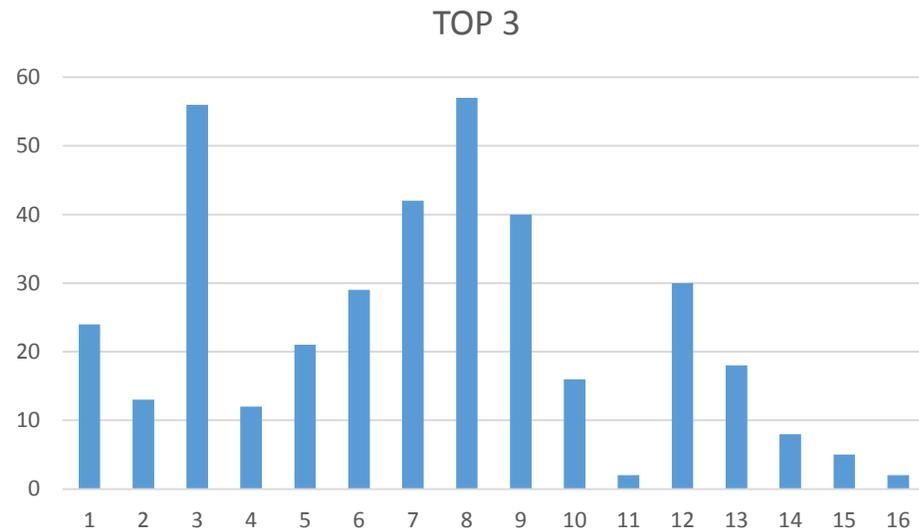


Structure	
1	Clear executive summary that sets out the main issues
2	Effectiveness of introduction - including clear statement of aims and approach
3	Development sections - quality/coherence of sections and logical progression through material
4	Logical conclusions that relate to, and stem from, previous discussion
Content	
5	Identification of relevant ethical issues and associated risks
6	Explanation of ethical issues and associated risks
7	Relevance to the task i.e. focused on ethical issues with other issues only being included where they have ethical relevance
8	Application of relevant ethical theory to the identified issues
Literature	
9	Identification and use of relevant literature
10	Quality and breadth of reading
11	Correct citations
Presentation	
12	Style and presentation

# Engagement with our rubric

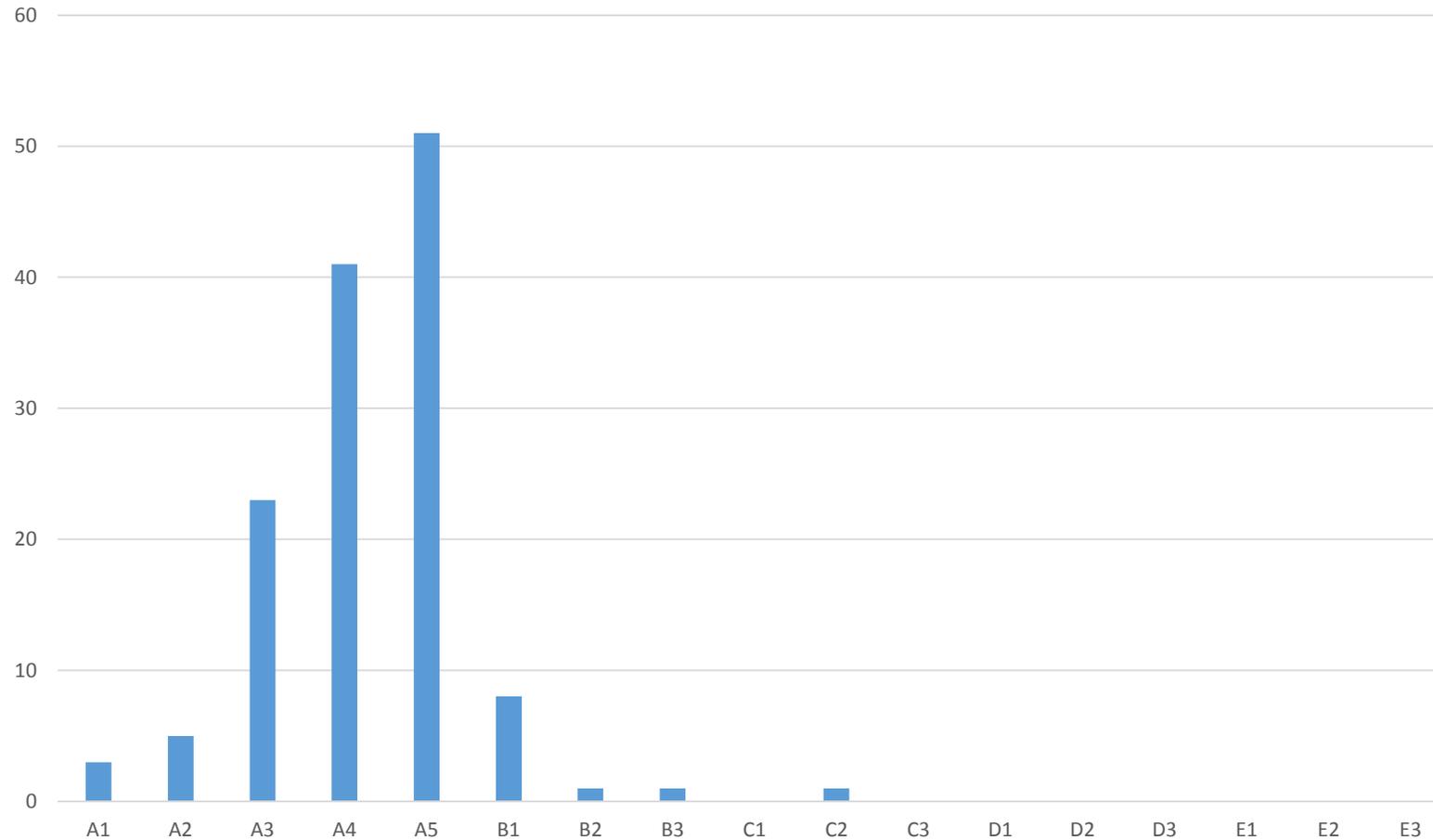


# Rubric interpretation



Top 3	Bottom 3
Application of theory	Meeting log
Coherence of sections/logical progression	Word limit
Identification of relevant issues	Correct referencing

# Rubric and self-assessment



<b>Structure</b>
<b>Content</b>
<b>Literature</b>
<b>Presentation</b>
<b>Word count</b>
<b>Meeting log</b>

You would grade your coursework as:

# Theoretical framework

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- Regulatory Focus theory (Higgins 1997; 1998):

## **Promotion focus (PROM):**

- Emphasises on growth and advancement needs
- Goals are viewed as ideals, there is a strategic concern with obtaining gains and avoiding non-gains

## **Prevention focus (PREV):**

- Emphasises safety, security needs.
- Goals are viewed as 'oughts' and there is a strategic concern with obtaining non-losses and avoiding losses.

# Student self-regulation

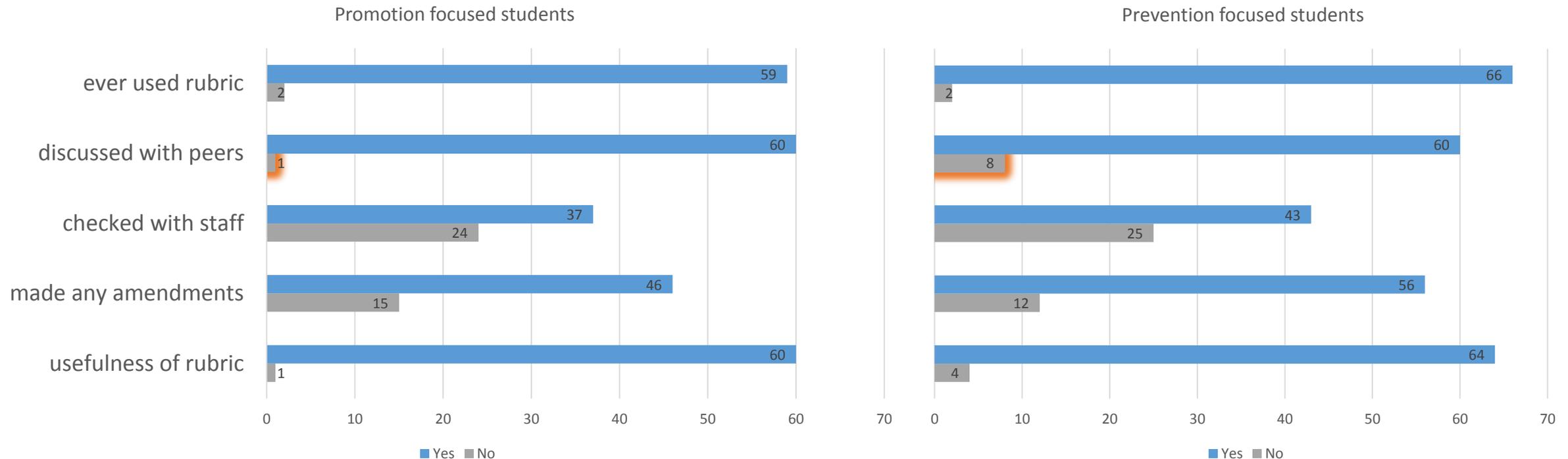
- Chronic Regulatory focus
  - Stable as part of personal character
  - Students filled in Regulatory Focus Questionnaire – compares promotion pride versus prevention pride
- Strategic inclination
  - Students indicated their strategy applied in the assessment

	Promotion focus	Prevention focus	<i>Missing</i>	Total
Chronic Regulatory Focus	61	69	4	134
Strategic Inclination	71	16	47	

# Use of rubric

$t = 2.375, p = 0.020$

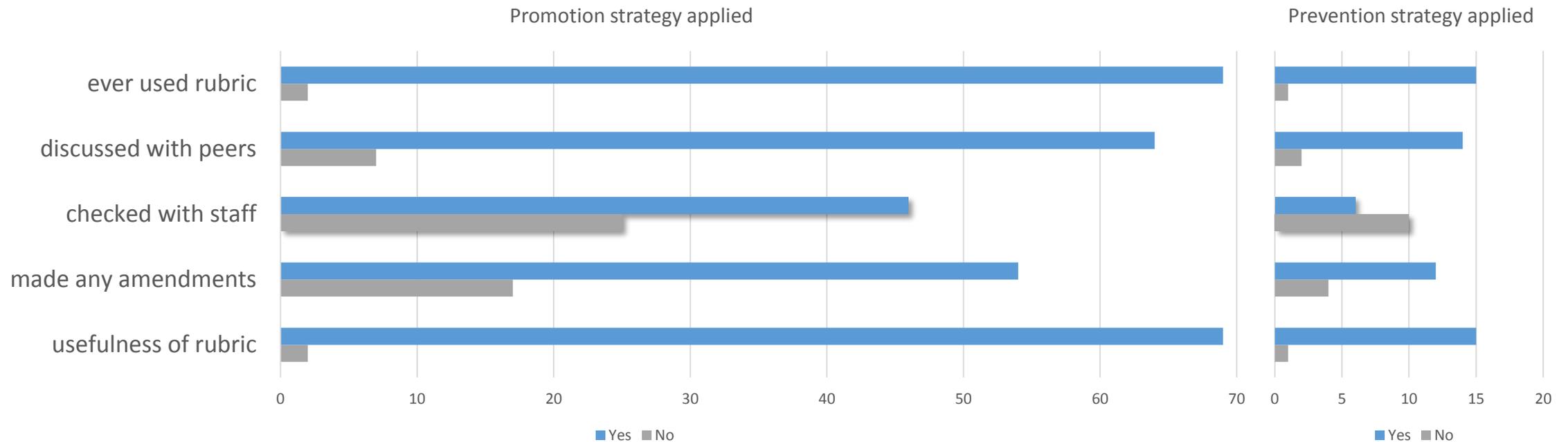
	Promotion focus	Prevention focus
<b>Discuss assessment rubric with peers</b>	<b>98%</b>	<b>88%</b>



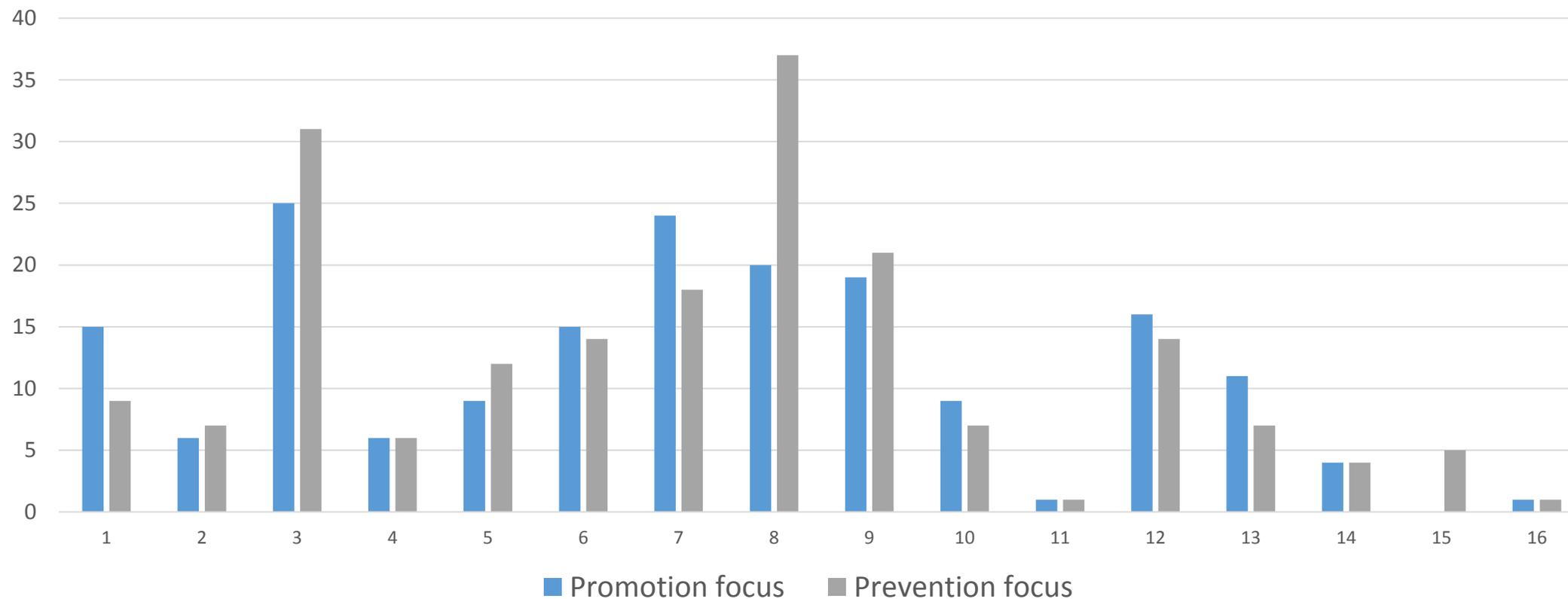
# Use of rubric

	Promotion strategy applied	Prevention strategy applied
<b>Discussed/checked with teacher</b>	<b>65%</b>	<b>38%</b>

$t = 2.036, p = 0.045$



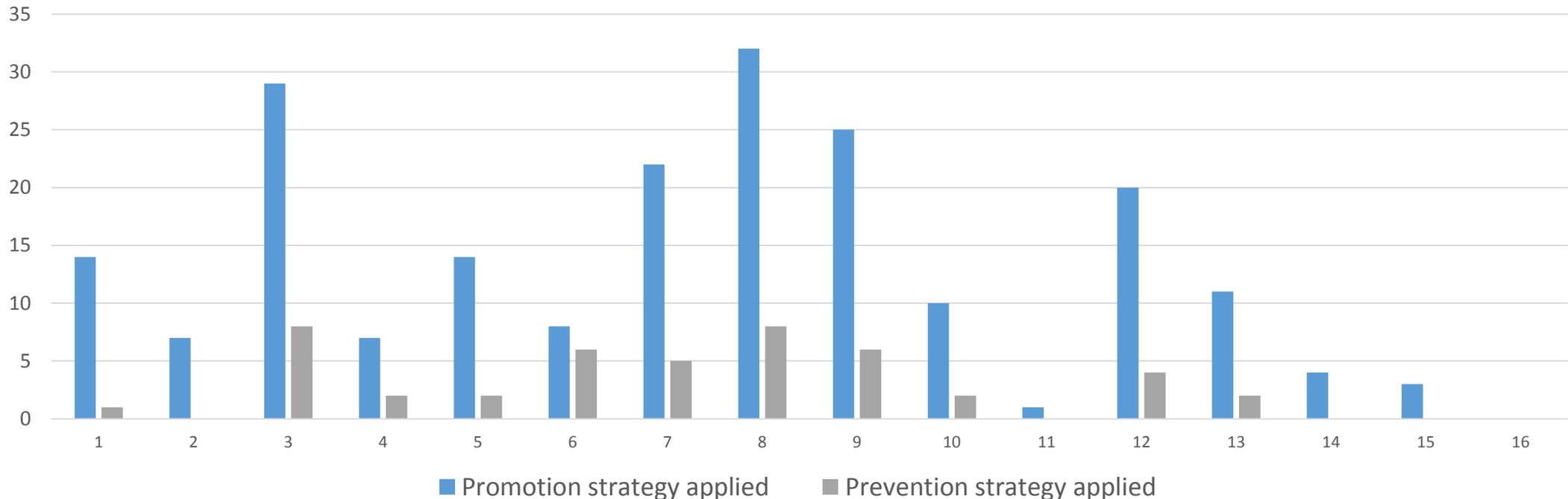
# Use of rubric



Top 3 items	Promotion focus		Prevention focus	
	Item No.		Item No.	
1st	3	Structure	8	Content
2nd	7	Content	3	Structure
3rd	8	Content	9	Literature

# Use of rubric

Depending on RF, students respond differently to components of assessment rubric



	Promotion strategy applied	Prevention strategy applied
Number of items excluded	1	5

# Findings

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- 94.8% of participants made use of rubrics for this assessment and 95.5% find it useful (response rate: 63.1%)
- PROM students take a more proactive approach to coursework than PREV students
  - They are more likely to discuss work-in-progress with staff and fellow students
- Depending on RF, students respond differently to components of assessment rubric

# Discussion

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- Assessment rubric is a useful tool and students have a positive attitude towards it
- Self-regulation mediates the use of assessment rubrics.
- PROM students use the rubric to map out the route towards the 'target' while PREV use it as a collection of 'checkpoints'
- PROM have a more 'holistic' approach to rubric while PREV concentrate deeply on fewer items (Lieberman et al. 2001)

# Takeaway

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- Students engage significantly with the assessment rubric
- Students associate different levels of importance to items included in the rubric (because of their RF)
- Assessment rubrics generate ‘mixed feelings’:
  - Promotion oriented students engage with fellow students and lecturers
  - Prevention oriented students are less likely to communicate with academic staff

Thank you for listening!

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## **Student self-regulation and the use of assessment rubrics**

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